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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/645,093	08/21/2003	Kenneth W. Cowan	NUM-02502	7283
26339 7590 01/18/2007 MUIRHEAD AND SATURNELLI, LLC 200 FRIBERG PARKWAY, SUITE 1001			EXAMINER	
			KISS, ERIC B	
WESTBOROUGH, MA 01581			ART UNIT	PAPER NUMBER
			2192	
				·
SHORTENED STATUTORY	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTHS		01/18/2007	PAF	ER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)		
	10/645,093	COWAN, KENNETH W.		
Office Action Summary	Examiner	Art Unit		
:	Eric B. Kiss	2192		
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address		
Period for Reply				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).				
Status				
1) Responsive to communication(s) filed on 21 Au	<u>igust 2003</u> .			
2a) ☐ This action is FINAL . 2b) ☑ This	action is non-final.			
3) ☐ Since this application is in condition for allowar	nce except for formal matters, pro	secution as to the merits is		
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.		
Disposition of Claims				
4) Claim(s) <u>1-18,29-45,56 and 57</u> is/are pending i	n the application.			
4a) Of the above claim(s) is/are withdraw				
5) Claim(s) is/are allowed.				
6) Claim(s) <u>1-18,29-45,56 and 57</u> is/are rejected.				
7) Claim(s) is/are objected to.				
8) Claim(s) are subject to restriction and/or	r election requirement.			
Application Papers				
9)⊠ The specification is objected to by the Examine	r. ,			
10)⊠ The drawing(s) filed on 21 August 2003 is/are:	a)⊠ accepted or b)□ objected t	to by the Examiner.		
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).		
Replacement drawing sheet(s) including the correct				
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).				
a) All b) Some * c) None of:				
 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 				
3. Copies of the certified copies of the priority documents have been received in Application No				
application from the International Bureau (PCT Rule 17.2(a)).				
* See the attached detailed Office action for a list of the certified copies not received.				
Attachment(s)				
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date 3) Information Disclosure Statement(s) (PTO/SR/08) 5) Notice of Informal Patent Application				
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 5) Notice of Informal Patent Application 6) Other:				

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DETAILED ACTION

1. Claims 1-18, 29-45, 56, and 57 have been examined.

Specification

2. The use of trademarks, such as "Intel", "Microsoft Windows", "Windows", "Windows", "NuMega", "NuMega DevPartner Studio", "BoundsChecker", and "ActiveX", has been noted in this application. Trademarks should be capitalized wherever they appear (capitalize each letter or accompany each trademark with an appropriate designation symbol, e.g., TM or ®) and be accompanied by the generic terminology (use trademarks as adjectives modifying a descriptive noun).

Although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as trademarks.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 5 and 56 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 5 and 56 contain the trademark/trade name ACTIVEX. Where a trademark or trade name is used in a claim as a limitation to identify or describe a particular material or product, the claim does not comply with the requirements of 35 U.S.C. 112, second paragraph.

See Ex parte Simpson, 218 USPQ 1020 (Bd. App. 1982). The claim scope is uncertain since the

trademark or trade name cannot be used properly to identify any particular material or product. A trademark or trade name is used to identify a source of goods, and not the goods themselves. Thus, a trademark or trade name does not identify or describe the goods associated with the trademark or trade name. In the present case, the trademark/trade name is used to identify/describe a particular computer programming architecture and, accordingly, the identification/description is indefinite.

Double Patenting

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claims 1-18, 29-45, 56, and 57 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-18 and 29-45 of U.S. Patent No. 6,701,519. Although the conflicting claims are not identical, they are not patentably distinct from each other.

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A later claim that is not patentably distinct from an earlier claim in a commonly owned patent is invalid for obvious-type double patenting. *In re Berg*, 140 F.3d 1428, 1431, 46 USPQ2d 1226, 1229 (Fed. Cir. 1998). A later patent claim is not patentably distinct from an earlier patent claim if the later claim is obvious over, or anticipated by, the earlier claim. *In re Longi*, 759 F.2d at 896, 225 USPQ at 651 (affirming a holding of obviousness-type double patenting because the claims at issue were obvious over claims in four prior art patents); *In re Berg*, 140 F.3d at 1437, 46 USPQ2d at 1233 (Fed. Cir. 1998) (affirming a holding of obviousness-type double patenting where a patent application claim to a genus is anticipated by a patent claim to a species within that genus).

Regarding pending claims 1-18 and 57, the table below shows how each of these claims is anticipated by claims 1-18 of U.S. Patent No. 6,701,519. Note that pending claim 1 is a broader version of claim 1 issued in U.S. Patent No. 6,701,519, and that pending claim 1 does not require the steps of determining a first record of platform information for which code coverage is being assessed and performing a set intersection operation between said first record of platform information and said one or more records of platform information to form a resulting set of program executions associated with said set intersection operation, each program execution included in said set of one or more program executions corresponding to one of said one or more records of platform information that is similar to said first record of platform information.

Thus, pending claim 1 may be considered as defining a broader genus version of the species defined in claim 1 of U.S. Patent No. 6,701,519.

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U.S. Patent No. 6,701,519	Instant Application	
Claim 1. A method executed in a computer	Claim 1. A method executed in a computer	
system for automatically tracking platform	system for automatically tracking hardware	
usage comprising:	and software platform usage for a plurality of	
	program executions on a plurality of hardware	
	and software platforms comprising:	
enabling collection of one or more records of	enabling collection of one or more records of	
platform information for a plurality of	hardware and software platform information	
programs prior to execution of each of said	prior to execution of each of a plurality of	
plurality of programs	programs;	
executing each of said plurality of programs	executing each of said plurality of programs;	
	, , , , , , , , , , , , , , , , , , , ,	
recording, in response to said enabling, one or	recording, in a central data storage device in	
more records of platform information for a	response to said enabling, one or more records	
plurality of program executions corresponding	of hardware and software platform information	
to said plurality of programs	for said plurality of program executions;	
assessing platform usage using said one or	assessing, in accordance with at least one	
more records of platform information in	predetermined criteria, at least one of hardware	
accordance with at least one predetermined	and software platform usage using said one or	
criteria	more records of hardware and software	
·	platform information from said plurality of	
	program executions	
Claim 2. each of said one or more records of	Claim 2. each of said one or more records of	
platform information includes software	hardware and software platform information	
component data and system configuration data	including software component data and system	
	configuration data	
Claim 3. said system configuration data	Claim 3. said system configuration data	
includes hardware data and software settings	including hardware data and software settings	
describing an environment of a computer	describing an environment of a computer	
system in which a program is executed	system in which a program is executed	
Claim 4. at least a first portion of the software	Claim 4. at least a first portion of the software	
component data corresponds to a software	component data corresponding to a software	
component that is a shared library	component that is a shared library	
Claim 5. the shared library is one of a	Claim 5. the shared library being one of a	
dynamic link library and an ActiveX Control	dynamic link library and an ActiveX Control	
library	library	

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U.S. Patent No. 6,701,519	Instant Application	
Claim 6. the system configuration information	Claim 6. the system configuration information	
includes data describing at least one of: a	including data describing at least one of: a	
number of processors in a particular platform, a	number of processors in a particular platform, a	
system name, an indicator as to a hardware	system name, an indicator as to a hardware	
processor type, an operating system identifier,	processor type, an operating system identifier,	
an amount of physical memory, and an	an amount of physical memory, and an	
identifier for each program execution	identifier for each program execution	
associated with said system configuration	associated with said system configuration	
information being described	information being described	
Claim 10. performing one or more routine	Claim 7. performing one or more routine calls	
calls using a function provided by an operating	using a function provided by an operating	
system to gather a portion of at least one of the	system to gather a portion of at least one of the	
records of platform information	records of hardware and software platform	
	information	
Claim 11. obtaining software component data	Claim 8. obtaining software component data	
using an event reporting mechanism that	using an event reporting mechanism that	
reports information to a monitor process	reports information to a monitor process	
Claim 12. linking a program to be tested to	Claim 9. linking a program to be tested to	
include monitoring; and reporting software	include monitoring; and reporting software	
component data at runtime to a monitor	component data at runtime to a monitor	
process by monitoring predetermined calls	process by monitoring predetermined calls	
made from a portion of a program being	made from a portion of a program being	
executed	executed	
Claim 13. the calls being monitored are in	Claim 10. the calls being monitored being in	
user supplied code	user supplied code	
Claim 14. the program being executed	Claim 11. the program being executed	
includes a software component directly	including a software component directly	
invoked from a portion of user supplied code	invoked from a portion of user supplied code	
Claim 15. the program being executed	Claim 12. the program being executed	
includes at least one software component that	including at least one software component that	
is not directly invoked from a portion of user	is not directly invoked from a portion of user	
supplied code	supplied code	
Claim 7. a plurality of platforms are	Claim 13. a plurality of hardware and	
associated with said plurality of program	software platforms being associated with said	
executions, and the method further including:	plurality of program executions, and the	
recording, for each of said plurality of	method further including: recording, for each	
platforms, software component data associated	of said plurality of hardware and software	
with each software component included in said	platforms, software component data associated	
each platform, said software component data	with each software component included in said	
includes information uniquely identifying said	each hardware and software platform, said	
each software component	software component data including	
	information uniquely identifying said each	
	software component	

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U.S. Patent No. 6,701,519	Instant Application
Claim 8. said software component data	Claim 14. said software component data
includes at least one of a module name, a link	including at least one of a module name, a link
date, a file version, a file size, and a product	date, a file version, a file size, and a product
version	version
Claim 9. said software component	Claim 15. the software component
information includes data indicating one or	information including data indicating one or
more of said plurality of program executions	more of said plurality of program executions
that are associated with a first software	that are associated with a first software
component corresponding to said software	component corresponding to said software
component information	component information
Claim 16. said software component	Claim 16. forming a set union of said one or
information includes data indicating one or	more records of hardware and software
more of said plurality of program executions	platform information to identify each unique
that are associated with a first software	platform
component corresponding to said software	
component information	
Claim 17. each of said one or more records of	Claim 17. each of said one or more records of
platform information includes software	hardware and software platform information
component data and system configuration data,	includes software component data and system
and the method further includes:	configuration data, and the method further includes:
forming an initial union set that includes a first	forming an initial union set that includes a first
record of platform information;	record of hardware and software platform
	information;
determining for a second record of platform	determining for a second record of hardware
information if there are differences in system	and software platform information if there are
configuration data associated with said first	differences in system configuration data
and second records of platform information;	associated with said first and second records of hardware and software platform information;
determining for said second record of platform	determining for said second record of hardware
information if there are differences in software	and software platform information if there are
component data associated with said first and	differences in software component data
second records of platform information; and	associated with said first and second records of
	hardware and software platform information;
adding said second record of platform	and adding said second record of hardware and
adding said second record of platform information to said initial union set if any	software platform information to said initial
differences are determined in system	union set if any differences are determined in
configuration data or software component data.	system configuration data or software
comiguration data of software component data.	component data.
	component data.

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Claim 18. determining differences in software component data includes: determining differences in named software modules associated with said first and second records of platform information; and determining differences in attributes of a first named software module included in said first and said second records of platform information Claim 1. A method executed in a computer system for automatically tracking platform usage comprising: enabling collection of one or more records of platform information for a plurality of programs prior to execution of each of said plurality of programs executing each of said plurality of programs recording, in response to said enabling, one or more records of platform usage using said one or more records of platform information in accordance with at least one predetermined criteria Claim 18. determining differences in software component data includes: determining differences in named software modules associated with said first and second records of hardware and software modules associated with said first and second records of hardware and software modules associated with said first and second records of hardware and software modules associated with said first and second records of hardware and software modules associated with said first and second records of hardware and software platform information. Claim 17. A method executed in a computer system for automatically tracking hardware and software platform usage for a plurality of program execution of one or more records of hardware and software platform information prior to execution of one or more records of hardware and software platform information prior to execution of each of said plurality of programs; executing each of said plurality of programs recording, in response to said enabling, one or more records of hardware and software platform information for said plurality of program executions; and determining differences in attributes of a first and said second records of hardware and software platform information.	U.S. Patent No. 6,701,519	Instant Application	
determining differences in named software modules associated with said first and second records of platform information; and determining differences in attributes of a first named software module included in said first and said second records of platform information; and determining differences in attributes of a first named software module included in said first and said second records of platform information. Claim 1. A method executed in a computer system for automatically tracking platform usage comprising: Claim 57. A method executed in a computer system for automatically tracking hardware and software platform usage for a plurality of programs records of platform information for a plurality of programs executing each of said plurality of programs executions corresponding to said plurality of programs executing each of said plurality of programs executions of hardware and software platform information for said plurality of program executions; and querying said central data storage device, in accordance with at least one predetermined criteria using said one or more records of hardware and software platform information for said plurality of program executions, to determine at least one of hardware and	Claim 18. determining differences in software		
modules associated with said first and second records of platform information; and determining differences in attributes of a first named software module included in said first and said second records of platform information. Claim 1. A method executed in a computer system for automatically tracking platform usage comprising: enabling collection of one or more records of platform information for a plurality of programs prior to execution of each of said plurality of programs executing each of said plurality of program executions; and querying said central data storage device, in accordance with at least one predetermined criteria using said one or more records of hardware and software platform information for said plurality of program executions; to determine and software platform information prior to execution of each of said plurality of programs; executing each of said plurality of program executions, and querying said c	1 •	1 -	
records of platform information; and determining differences in attributes of a first named software module included in said first and said second records of platform information Claim 1. A method executed in a computer system for automatically tracking platform usage comprising: Claim 57. A method executed in a computer system for automatically tracking platform usage for a plurality of program executions on a plurality of programs prior to execution of each of said plurality of programs executions of platform information for a plurality of programs executions corresponding to said plurality of programs executions corresponding to said plurality of programs assessing platform usage using said one or more records of platform information in accordance with at least one predetermined criteria records of hardware and software platform information. Claim 57. A method executed in a computer system for automatically tracking hardware and software platform usage for a plurality of program executions on a plurality of program execution of each of said plurality of programs; executing each of said plurality of programs executing each of said plurality of programs executions; and assessing platform usage using said one or more records of platform information in accordance with at least one predetermined criteria using said one or more records of hardware and software platform information for said plurality of program executions; to determine at least one of hardware and software platform information for said plurality of program executions; to determine at least one of hardware and software and software platform information for said plurality of program executions; to determine at least one of hardware and software and software platform information for said plurality of program executions; to determine at least one of hardware and software and software platform information for said plurality of program executions; to determine at least one of hardware and software and software platform information for said plural		determining differences in named software	
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determining differences in attributes of a first named software module included in said first and said second records of platform information. Claim 1. A method executed in a computer system for automatically tracking platform usage comprising: enabling collection of one or more records of platform information for a plurality of programs prior to execution of each of said plurality of programs executing each of said plurality of programs recording, in response to said enabling, one or more records of platform information for a plurality of program executions corresponding to said plurality of programs assessing platform usage using said one or more records of platform information in accordance with at least one predetermined criteria determining differences in attributes of a first named software module included in said first and said second records of hardware and software and software and software and software and software and software platform usage for a plurality of program executions on a plurality of program execution of one or more records of hardware and software platform information prior to execution of each of said plurality of programs; executing each of said plurality of programs; recording, in response to said enabling, one or more records of platform information for a plurality of program executions corresponding to said plurality of program executions; and querying said central data storage device, in accordance with at least one predetermined criteria using said one or more records of hardware and software platform information from said plurality of program executions, to determine at least one of hardware and	records of platform information; and	records of hardware and software platform	
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Claim 1. A method executed in a computer system for automatically tracking platform usage comprising: Claim 57. A method executed in a computer system for automatically tracking hardware and software platform usage for a plurality of program executions on a plurality of hardware and software platforms comprising: enabling collection of one or more records of platform information for a plurality of programs prior to execution of each of said plurality of programs executing each of said plurality of programs executing each of said plurality of programs: recording, in response to said enabling, one or more records of platform information for a plurality of program executions corresponding to said plurality of programs assessing platform usage using said one or more records of platform information in accordance with at least one predetermined criteria enabling collection of one or more records of hardware and software platform information prior to execution of each of said plurality of programs; recording, in response to said enabling, one or more records of hardware and software platform information for said plurality of program executions; and querying said central data storage device, in accordance with at least one predetermined criteria using said one or more records of hardware and software platform information from said plurality of program executions, to determine at least one of hardware and			
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Pending claims 29-45 and 56 of the instant application are product claims substantially paralleling the limitations in instant system claims 1-18. Likewise, claims 29-45 of U.S. Patent No. 6,701,519 are product claims substantially paralleling the limitations in patented claims 1-4

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and 6-18, and pending claim 56 is merely a product version of patented claim 5, as discussed above. By a similar comparison to that shown in the table and discussion above with respect to pending claims 1-18, pending claims 29-45 and 56 are not patentably distinct from patented claims 5 and 29-45 (*i.e.*, claims 29-45 of U.S. Patent No. 6,701,519 are believed to anticipate claims 29-45 of the instant application and claim 5 of U.S. Patent No. 6,701,519 renders obvious pending claim 56).

Thus, for the foregoing reasons, pending claims 1-18, 29-45, 56, and 57 are not patentably distinct from claims 1-18 and 29-45 of U.S. Patent No. 6,701,519, and as such, are unpatentable for obviousness-type double patenting.

Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 7. Claims 1-18, 29-45, 56, and 57 are rejected under 35 U.S.C. 102(b) as being anticipated by "DevCenter Concepts, NuMegaTM DevCenterTM, Making Software Development ManageableTM," March 1999, Compuware Corp., pp. i-x and 1-87 (hereinafter "[DCC1999]").

As per claim 1, [DCC1999] discloses:

enabling collection of one or more records of hardware and software platform information prior to execution of each of a plurality of programs (see, e.g., p. 2 (. . . enable the

capture and distribution of information that includes: . . . Hardware and software configuration information about the platforms on which an application has been run));

executing each of said plurality of programs (Id.);

recording, in a central data storage device in response to said enabling, one or more records of hardware and software platform information for said plurality of program executions (*Id.*; p. 81 (Platform Configuration Information); p. 47 (describing in more detail the Defect item in the DevCenter object-oriented database)); and

assessing, in accordance with at least one predetermined criteria, at least one of hardware and software platform usage using said one or more records of hardware and software platform information from said plurality of program executions (see, e.g., p. 10, lines 1-3; p. 22, last two paragraphs).

As per claim 2, [DCC1999] further discloses each of said one or more records of hardware and software platform information including software component data and system configuration data (see, e.g., p. 2 (... enable the capture and distribution of information that includes: ... Hardware and software configuration information about the platforms on which an application has been run)).

As per claim 3, [DCC1999] further discloses said system configuration data including hardware data and software settings describing an environment of a computer system in which a program is executed (see, e.g., p. 2 (. . . enable the capture and distribution of information that includes: . . . Hardware and software configuration information about the platforms on which an application has been run)).

As per claim 4, [DCC1999] further discloses at least a first portion of the software component data corresponding to a software component that is a shared library (see, e.g., p. 2 (. . about executable components such as DLL, EXE, and OCX files)).

As per claim 5, [DCC1999] further discloses the shared library being one of a dynamic link library and an ActiveX Control library (*Id.*).

As per claim 6, [DCC1999] further discloses the system configuration information including data describing at least one of: a number of processors in a particular platform, a system name, an indicator as to a hardware processor type, an operating system identifier, an amount of physical memory, and an identifier for each program execution associated with said system configuration information being described (see, e.g., p. 81 (. . . information such as the type of processor, amount of memory, screen resolution, and the operating system)).

As per claim 7, [DCC1999] further discloses performing one or more routine calls using a function provided by an operating system to gather a portion of at least one of the records of hardware and software platform information (see, e.g., p. 81 (. . . link date and time and version resource strings).

As per claim 8, [DCC1999] further discloses obtaining software component data using an event reporting mechanism that reports information to a monitor process (see, e.g., p. 22, last paragraph)

As per claim 9, [DCC1999] further discloses linking a program to be tested to include monitoring (see, e.g., p. 22 (. . . code instrumented for collecting coverage data . . .)); and reporting software component data at runtime to a monitor process by monitoring predetermined

calls made from a portion of a program being executed (*Id.* (. . . DevCenter collects information about what code modules, functions, and lines get executed.).

As per claim 10, [DCC1999] further discloses the calls being monitored being in user supplied code (*Id.*).

As per claim 11, [DCC1999] further discloses the program being executed including a software component directly invoked from a portion of user supplied code (*Id.* (code modules, functions, and lines. . .).

As per claim 12, [DCC1999] further discloses the program being executed including at least one software component that is not directly invoked from a portion of user supplied code (see, e.g., p. 81 (. . . tracks the details of DLL, OCX, and the EXE files used by the application being debugged)).

As per claim 13, [DCC1999] further discloses a plurality of hardware and software platforms being associated with said plurality of program executions (see, e.g., p. 81, last two paragraphs), and the method further including: recording, for each of said plurality of hardware and software platforms, software component data associated with each software component included in said each hardware and software platform, said software component data including information uniquely identifying said each software component (see, e.g., p. 81, last two paragraphs).

As per claim 14, [DCC1999] further discloses said software component data including at least one of a module name, a link date, a file version, a file size, and a product version (see, e.g., p. 81 (... link date and time and version resource strings)).

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As per claim 15, [DCC1999] further discloses the software component information including data indicating one or more of said plurality of program executions that are associated with a first software component corresponding to said software component information (see, e.g., p. 81, last two paragraphs).

As per claim 16, [DCC1999] further discloses forming a set union of said one or more records of hardware and software platform information to identify each unique platform (see, e.g., p. 10 (Merging Coverage Data); p. 30 (Configuration Coverage Scenario); p. 81 (Platform Configuration Information)).

As per claim 17, [DCC1999] further discloses each of said one or more records of hardware and software platform information includes software component data and system configuration data (see, e.g., p. 81 (... DevCenter records hardware and software configuration information)), and the method further including:

forming an initial union set that includes a first record of hardware and software platform information (see, e.g., p. 81 (Platform Configuration Information));

determining for a second record of hardware and software platform information if there are differences in system configuration data associated with said first and second records of hardware and software platform information (see, e.g., the examples of merging platform information on p. 10 (Merging Coverage Data), p. 30 (Configuration Coverage Scenario) and p. 82 (Importance of Tracked Data));

determining for said second record of hardware and software platform information if there are differences in software component data associated with said first and second records of hardware and software platform information (See Id.); and

adding said second record of hardware and software platform information to said initial union set if any differences are determined in system configuration data or software component data (See Id.).

As per claim 18, [DCC1999] further discloses determining differences in software component data includes:

determining differences in named software modules associated with said first and second records of hardware and software platform information (see, e.g., the examples of merging platform information on p. 10 (Merging Coverage Data), p. 30 (Configuration Coverage Scenario) and p. 82 (Importance of Tracked Data)); and

determining differences in attributes of a first named software module included in said first and said second records of hardware and software platform information (See Id.).

As per claims 29-45 and 56, these are computer program products substantially paralleling the claimed methods discussed above (see the discussion of claims 1-18).

[DCC1999] further discloses the use of such a product (see, e.g., the Software License Agreement on pp. iii-iv), and all other limitations have been addressed as set forth above.

As per claim 57, [DCC1999] discloses:

enabling collection of one or more records of hardware and software platform information prior to execution of each of a plurality of programs (see, e.g., p. 2 (... enable the capture and distribution of information that includes: ... Hardware and software configuration information about the platforms on which an application has been run));

executing each of said plurality of programs (Id.);

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recording, in a central data storage device in response to said enabling, one or more records of hardware and software platform information for said plurality of program executions (*Id.*; p. 81 (Platform Configuration Information); p. 47 (describing in more detail the Defect item in the DevCenter object-oriented database)); and

querying said central data storage device, in accordance with at least one predetermined criteria, at least one of hardware and software platform usage using said one or more records of hardware and software platform information from said plurality of program executions (see, e.g., p. 10, lines 1-3; p. 22, last two paragraphs; see also p. 81, last two paragraphs (. . . construct a TrackRecord query . . .).

Conclusion

- 8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- 9. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Eric B. Kiss whose telephone number is (571) 272-3699. The Examiner can normally be reached on Tue. Fri., 7:00 am 4:30 pm. The Examiner can also be reached on alternate Mondays.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Tuan Dam, can be reached on (571) 272-3695. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry of a general nature should be directed to the TC 2100 Group receptionist: 571-272-2100.

Eric B. Kiss

January 4, 2007